

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. (70801) 56345	
In Re Application Of: Yorishige Ishii et al.			
Serial No. 09/920,873	Filing Date August 2, 2001	Examiner Not assigned	Group Art Unit 2633
Title: Optical Communication Module			
<div style="border: 2px solid black; padding: 5px; display: inline-block;"> <b>RECEIVED</b>  <b>JUL 22 2003</b> </div>			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
Technology Center 2600			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>			
<b>OR</b>			
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>			

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
(70801) 56345

In Re Application:

Yorishige Ishii et al.

JUL 21 2003

Serial No.

09/920,873

Filing Date

August 2, 2001

Examiner

Not assigned

Group Art Unit

2633

Optical Communication Module

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## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1105 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited 7/17/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Laurie J. Brown

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Signature

Dated: July 17, 2003

Peter J. Manus (Reg. No. 26,766)

EDWARDS &amp; ANGELL, LLP

P.O. Box 9169

Boston, MA 02209

Tel: (617) 439-4444

Fax: (617) 439-4170

CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
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Examiner

Not assigned

Group Art Unit

2633

Invention:

Optical Communication Module

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated:

July 17, 2003

Peter J. Manus (Reg. No. 26,766)  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209  
Tel: (617) 439-4444  
Fax: (617) 439-4170

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Signature of Person Mailing Correspondence

Laurie J. Brown

Typed or Printed Name of Person Mailing Correspondence

cc:

**SUPPLEMENTAL  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

JUL 21 2003

Docket Number (Optional)  
(70801) 56345

Application Number  
09/920,873

Applicant(s)  
Yorishige Ishii et al.

Filing Date  
August 2, 2001

Group Art Unit  
2633

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BA	11(1999)-352365	12/24/1999	Japan	G02B	6/42	Abstract	
	BB	11(1999)-237535	08/31/1999	Japan	G02B	6/42	Abstract	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	Office Action dated June 17, 2003 in corresponding Japanese patent application No. P2000-237275, with English translation.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.